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Hodge classes associated to 1-parameter families of Calabi-Yau 3-folds. (English summary)

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This paper presents a detailed description of the Hodge numbers of the L^2 -cohomology group $H_{(2)}^1(S, \mathbb{V})$, where $f: X \rightarrow S$ is a family of Calabi-Yau threefolds over a smooth complex curve S and $\mathbb{V} = R^3 f_*(\mathbb{C}_X)$. For $\bar{f}: \bar{X} \rightarrow \bar{S}$ a semi-stable completion of f , let $D = \bar{S} \setminus S$ denote the image of the singular fibers of $\bar{f}$, and set $\Delta = \bar{f}^{-1}(D)$. To obtain their results the authors analyze the structure of the logarithmic Higgs bundle (E, θ) on $\bar{S}$, where E is a direct sum of Hodge bundles $E^{p,q} = R^q \bar{f}_*(\Omega_{\bar{X}/\bar{S}}^p(\log \Delta))$, with $p + q = 3$ and $\dim E^{p,q} = 1$. The Higgs field $\theta: E \rightarrow E \otimes \Omega_{\bar{S}}^1(\log D)$ is obtained from the cup product with the Kodaira-Spencer class. Note that by Griffiths transversality θ maps $E^{p,q}$ into $E^{p-1,q+1} \otimes \Omega_{\bar{S}}^1(\log D)$. The authors show that principal examples arise from nontrivial pencils of Calabi-Yau threefolds, a number of which are expressed in the paper's final section.

By the work of J. Jost, Y. H. Yang and K. Zuo [*J. Reine Angew. Math.* **609** (2007), 137–159; [MR2350782 \(2009e:32021\)](#)], which extends S. M. Zucker's results in [*Ann. of Math. (2)* **109** (1979), no. 3, 415–476; [MR0534758 \(81a:14002\)](#)] on metrized local systems to Higgs bundles, one deduces that the L^2 -Higgs complex $(\Omega_{(2)}^\bullet(E), \theta)$ associated to (E, θ) is a resolution of $j_* \mathbb{V}$. Here $j: S \hookrightarrow \bar{S}$ denotes the inclusion. In particular there are isomorphisms $H_{(2)}^k(S, \mathbb{V}) \cong H^k(\bar{S}, j_* \mathbb{V}) \cong \mathbb{H}^k(\Omega_{(2)}^\bullet(E), \theta)$.

Using the residue of the Higgs field at each boundary point $p \in D$, which is expressed as the nilpotent endomorphism of E_p obtained from the monodromy logarithms, the authors classify the singular values of $\bar{f}$ and give an explicit description of the Hodge spaces $H^{p,q}$ of $H_{(2)}^1(S, \mathbb{V})$. In the penultimate section of the paper the authors discuss algebraic cycles $Z \in \text{CH}^2(\bar{X})$, which are homologous to zero on the fibers, in terms of extensions of Higgs bundles.

{Reviewer's remark: There is an obvious misprint in the description of $H^{1,3}$ in Theorem 2.1 on page 21.}

Reviewed by [Michael J. Paluch](#)

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Note: This list reflects references listed in the original paper as accurately as possible with no attempt to correct errors.